

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF TEXAS
AUSTIN DIVISION

FILED

2009 MAY -7 PM 1:30

CLERK OF DISTRICT COURT
WESTERN DISTRICT OF TEXAS

UNITED STATES OF AMERICA,

Plaintiff,

v.

HUMBERTO FERNANDEZ (1)
CLAUDIA PULIDO (2)
YAHAIRA BANDA (3)
LAURA CARAVEO (4)
HUMERTO RUBEN TREVINO (5)
ASTOLFO GARZA, (6)

Defendants.

§ CRIMINAL NO. _____

§
§ INDICTMENT

BY 
A09 CR 193 SS

§ [Violations: Ct. 1; 18 U.S.C. §§ 371,
§ 924(a)(1)(A) - [Conspiracy to Make False
§ Statement in Firearm Records];
§ Cts. 2-11; 18 U.S.C. §§ 924(a)(1)(A) -
§ [Making False Statement in Firearm
§ Records]

THE GRAND JURY CHARGES:

COUNT ONE

(Conspiracy to Make False Statement in Firearm Records)

From on or about February 1, 2007, and continuing thereafter until on or about March 29, 2009, in the Western District of Texas and within the jurisdiction of the court, the defendants,

HUMBERTO FERNANDEZ
CLAUDIA PULIDO
YAHAIRA BANDA
LAURA CARAVEO
HUMBERTO RUBEN TREVINO
and
ASTOLFO GARZA

did knowingly and willfully conspire and agree together and with each other, and with other persons known and unknown to the Grand Jurors to Violate Title 18, United States Code, Section 924(a)(1)(A), that is, the object of their conspiracy and agreement was to knowingly make false statements and representations with respect to the information required to be kept in the records of

a licensed dealer under Chapter 44 of Title 18, United States Code.

MANNER AND MEANS OF THE CONSPIRACY

1. It was part of the conspiracy that, the defendant, **HUMBERTO FERNANDEZ**, enlisted and directed **CLAUDIA PULIDO** to recruit individuals which included, the defendant, **YAHAIRA BANDA**, to purchase firearms for the defendant, **HUMBERTO FERNANDEZ**, who would then send the weapons to Mexico.

2. As part of the conspiracy, the defendant, **HUMBERTO FERNANDEZ**, recruited the defendants, **YAHAIRA BANDA**, **LAURA CARAVEO**, **HUMBERTO RUBEN TREVINO**, and **ASTOLFO GARZA**, to purchase firearms for the defendant, **HUMBERTO FERNANDEZ**.

3. It was further part of the conspiracy that, the defendant, **HUMBERTO FERNANDEZ**, would provide the co-conspirators the money for the purchase of the weapons and instruct the co-conspirators as to which weapons to buy. The majority of the co-conspirators would be paid a fee for the weapon or weapons they purchased.

4. Finally, it was also part of the conspiracy that the purchasers of the firearms, which included the defendants, **YAHAIRA BANDA**, **LAURA CARAVEO**, **HUMBERTO RUBEN TREVINO**, and **ASTOLFO GARZA**, would acquire and attempt to acquire firearms from licensed dealers by knowingly making false and fictitious statements and representations with respect to the information required to be kept in the records of a licensed dealer under Chapter 44 of Title 18, United States Code.

OVERT ACTS:

1. On or about June 23, 2008, the defendant, **HUMBERTO FERNANDEZ**, provided money to defendant, **YAHAIRA BANDA**, for the purchase of firearms by defendant **YAHARIA BANDA**.
2. On or about June 23, 2008, the defendant, **YAHAIRA BANDA**, purchased one

Marlin, Model 795SS, .22 cal., rifle; one Glock, Model 32. .357 Sig, pistol; and one Ruger, Model 10/22, .22 cal., rifle, from Academy Sports and Outdoors in Round Rock, Texas, by knowingly making a false statement and representation.

3. On or about September 29, 2008, the defendant, **HUMBERTO FERNANDEZ**, provided money to defendant, **YAHAIRA BANDA**, for the purchase of firearms by defendant **YAHARIA BANDA**.

4. On or about September 29, 2008, the defendant, **YAHAIRA BANDA**, purchased one Sako, 85 Grey Wolf, 30-06, rifle; and one Sig Sauer, Model P226 Elite, .40 cal., pistol, from Cabela's in Buda, Texas, by knowingly making a false statement and representation.

5. On or about November 18, 2008, the defendant, **HUMBERTO FERNANDEZ**, provided money to defendant, **YAHAIRA BANDA**, for the purchase of firearms by defendant **YAHARIA BANDA**.

6. On or about November 18, 2008, the defendant, **YAHAIRA BANDA**, purchased one Sig Sauer, Model P226 Elite, .40 cal., pistol; one Remington, Model 700VTR, .308 Win., rifle, and one Browning, Model 625 Sporting, 12 gauge, shotgun, from Cabela's in Buda, Texas, by knowingly making a false statement and representation.

7. On or about March 9, 2007, the defendant, **LAURA CARAVEO**, purchased two Marlin, Model 60SB, .22 cal., rifles; and one Sig Sauer, Model P232, .380 cal., pistol; from McBride's Guns in Austin, Texas, by knowingly making a false statement and representation.

8. On or about April 7, 2007, the defendant, **LAURA CARAVEO**, purchased one Marlin, Model 980S, .22 cal., pistol; one Tikka, Model T3, 270 cal., rifle; and one Sako, Model 85 Grey Wolf, .270 cal., rifle, from Cabela's in Buda, Texas, by knowingly making a false statement and representation.

9. On or about March 22, 2007, the defendant, **HUMBERTO FERNANDEZ**, provided money to defendant, **HUMBERTO RUBEN TREVINO**, for the purchase of firearms by defendant **HUMBERTO RUBEN TREVINO**.

10. On or about March 22, 2007, the defendant, **HUMBERTO RUBEN TREVINO**, purchased one Tikka, T3, .270 cal., rifle; and one Walther, PPK, .380 cal., pistol, from McBride's Guns in Austin, Texas, by knowingly making a false statement and representation.

11. On or about June 8, 2007, the defendant, **HUMBERTO FERNANDEZ**, provided money to defendant, **HUMBERTO RUBEN TREVINO**, for the purchase of firearms by defendant **HUMBERTO RUBEN TREVINO**.

12. On or about June 8, 2007, the defendant, **HUMBERTO RUBEN TREVINO**, purchased one Beretta, 84 (Cheetah), .380 pistol; one Tikka, T3, .270 cal., rifle and one Marlin, 980S, .22 cal., rifle, from McBride's Guns in Austin, Texas, by knowingly making a false statement and representation.

13. On or about February 3, 2008, the defendant, **HUMBERTO FERNANDEZ**, provided money to defendant, **HUMBERTO RUBEN TREVINO**, for the purchase of firearms by defendant **HUMBERTO RUBEN TREVINO**.

14. On or about February 3, 2008, the defendant, **HUMBERTO RUBEN TREVINO**, purchased one Beretta, 84FS (Cheetah), .380 pistol; one Remington, 700, .30-06, rifle, and one Mossberg/Kayhan, Silver Reserve, 12 gauge, shotgun, from Sportsmans Warehouse in Round Rock, Texas, by knowingly making a false statement and representation.

15. On or about March 27, 2008, the defendant, **HUMBERTO FERNANDEZ**, provided money to defendant, **ASTOLFO GARZA**, for the purchase of firearms by defendant **ASTOLFO GARZA**.

16. On or about March 27, 2008, the defendant, **ASTOLFO GARZA**, purchased one Beretta, 85, .380 caliber pistol; and one Ruger, Model 10/22, .22 caliber, rifle, from Sportsmans Warehouse in

Round Rock, Texas, by knowingly making a false statement and representation.

17. On or about April 11, 2008, the defendant, **HUMBERTO FERNANDEZ**, provided money to defendant, **ASTOLFO GARZA**, for the purchase of firearms by defendant **ASTOLFO GARZA**.

18. On or about April 11, 2008, the defendant, **ASTOLFO GARZA**, purchased one Browning, 12 gauge, shotgun; and one Walther, PPK/S, .380 caliber, pistol, from Sportsmans Warehouse in Round Rock, Texas, by knowingly making a false statement and representation.

In violation of Title 18, United States Code, Sections 371, 924(a)(1)(A).

COUNT TWO
(Making False Statement in Firearm Records)

On or about June 23, 2008, in the Western District of Texas, and within the jurisdiction of the court, the defendant,

YAHAIIRA BANDA

knowingly made a false statement and representation with respect to information required by the provisions of Chapter 44 of Title 18, United States Code, to be kept in the records of Academy Sports and Outdoors in Round Rock, Texas, a person licensed under the provisions of Chapter 44 of Title 18, United States Code, in that the defendant, **YAHAIIRA BANDA**, did execute a United States Department of Justice, Bureau of Alcohol, Tobacco, Firearms and Explosives Form 4473, Firearms Transaction Record, to the effect that she was the actual buyer of one Marlin, Model 795SS, .22 cal., rifle; one Glock, Model 32. .357 Sig, pistol; and one Ruger, Model 10/22, .22 cal., rifle , whereas in truth and in fact, she was acquiring the firearms on behalf of another person, Humberto Fernandez,

In violation of Title 18, United States Code, Section 924(a)(1)(A).

COUNT THREE
(Making False Statement in Firearm Records)

On or about September 29, 2008, in the Western District of Texas, and within the jurisdiction of the court, the defendant,

YAHAIRA BANDA

knowingly made a false statement and representation with respect to information required by the provisions of Chapter 44 of Title 18, United States Code, to be kept in the records of Cabela's in Buda, Texas, a person licensed under the provisions of Chapter 44 of Title 18, United States Code, in that the defendant, **YAHAIRA BANDA**, did execute a United States Department of Justice, Bureau of Alcohol, Tobacco, Firearms and Explosives Form 4473, Firearms Transaction Record, to the effect that She was the actual buyer of one Sako, 85 Grey Wolf, 30-06, rifle; and one Sig Sauer, Model P226 Elite, .40 cal., pistol, whereas in truth and in fact, she was acquiring the firearms on behalf of another person, Humberto Fernandez,

In violation of Title 18, United States Code, Section 924(a)(1)(A).

COUNT FOUR
(Making False Statement in Firearm Records)

On or about November 18, 2008, in the Western District of Texas, and within the jurisdiction of the court, the defendant,

YAHAIRA BANDA,

knowingly made a false statement and representation with respect to information required by the provisions of Chapter 44 of Title 18, United States Code, to be kept in the records of Cabela's in Buda, Texas, a person licensed under the provisions of Chapter 44 of Title 18, United States Code, in that the defendant, **YAHAIRA BANDA**, did execute a United States Department of Justice, Bureau of Alcohol, Tobacco, Firearms and Explosives Form 4473, Firearms Transaction Record,

to the effect that she was the actual buyer of one Sig Sauer, Model P226 Elite, .40 cal., pistol; one Remington, Model 700VTR, .308 Win., rifle, and one Browning, Model 625 Sporting, 12 gauge, shotgun , whereas in truth and in fact, she was acquiring the firearms on behalf of another person, Humberto Fernandez,

In violation of Title 18, United States Code, Section 924(a)(1)(A).

COUNT FIVE
(Making False Statement in Firearm Records)

On or about March 9, 2007, in the Western District of Texas, and within the jurisdiction of the court, the defendants,

LAURA CARAVEO

knowingly made a false statement and representation with respect to information required by the provisions of Chapter 44 of Title 18, United States Code, to be kept in the records of McBride's Guns in Austin, Texas, a person licensed under the provisions of Chapter 44 of Title 18, United States Code, in that the defendant, **LAURA CARAVEO**, did execute a United States Department of Justice, Bureau of Alcohol, Tobacco, Firearms and Explosives Form 4473, Firearms Transaction Record, to the effect that she was the actual buyer of two Marlin, Model 60SB, .22 cal., rifles; and one Sig Sauer, Model P232, .380 cal., pistol , whereas in truth and in fact, she was acquiring the firearms on behalf of another person, Humberto Fernandez,

In violation of Title 18, United States Code, Section 924(a)(1)(A).

COUNT SIX
(Making False Statement in Firearm Records)

On or about April 7, 2007, in the Western District of Texas, and within the jurisdiction of the court, the defendants,

LAURA CARAVEO

knowingly made a false statement and representation with respect to information required by the provisions of Chapter 44 of Title 18, United States Code, to be kept in the records of Cabela's in Buda, Texas, a person licensed under the provisions of Chapter 44 of Title 18, United States Code, in that the defendant, **LAURA CARAVEO**, did execute a United States Department of Justice, Bureau of Alcohol, Tobacco, Firearms and Explosives Form 4473, Firearms Transaction Record, to the effect that she was the actual buyer of one Marlin, Model 980S, .22 cal., pistol; one Tikka, Model T3, 270 cal., rifle; and one Sako, Model 85 Grey Wolf, .270 cal., rifle, whereas in truth and in fact, she was acquiring the firearms on behalf of another person, Humberto Fernandez,

In violation of Title 18, United States Code, Section 924(a)(1)(A).

COUNT SEVEN
(Making False Statement in Firearm Records)

On or about March 22, 2007, in the Western District of Texas, and within the jurisdiction of the court, the defendants,

HUMBERTO RUBEN TREVINO

knowingly made a false statement and representation with respect to information required by the provisions of Chapter 44 of Title 18, United States Code, to be kept in the records of McBride's Guns in Austin, Texas, a person licensed under the provisions of Chapter 44 of Title 18, United States Code, in that the defendant, **HUMBERTO RUBEN TREVINO**, did execute a United States Department of Justice, Bureau of Alcohol, Tobacco, Firearms and Explosives Form 4473, Firearms Transaction Record, to the effect that he was the actual buyer of purchased one Tikka, T3, .270 cal., rifle; and one Walther, PPK, .380 cal., pistol, whereas in truth and in fact, he was acquiring the firearms on behalf of another person, Humberto Fernandez,

In violation of Title 18, United States Code, Section 924(a)(1)(A).

COUNT EIGHT
(Making False Statement in Firearm Records)

On or about June 8, 2007, in the Western District of Texas, and within the jurisdiction of the court, the defendants,

HUMBERTO RUBEN TREVINO

knowingly made a false statement and representation with respect to information required by the provisions of Chapter 44 of Title 18, United States Code, to be kept in the records of McBride's Guns in Austin, Texas, a person licensed under the provisions of Chapter 44 of Title 18, United States Code, in that the defendant, **HUMBERTO RUBEN TREVINO**, did execute a United States Department of Justice, Bureau of Alcohol, Tobacco, Firearms and Explosives Form 4473, Firearms Transaction Record, to the effect that he was the actual buyer of one Beretta, 84 (Cheetah), .380 pistol; one Tikka, T3, .270 cal., rifle and one Marlin, 980S, .22 cal., rifle whereas in truth and in fact, he was acquiring the firearms on behalf of another person, Humberto Fernandez,

In violation of Title 18, United States Code, Section 924(a)(1)(A).

COUNT NINE
(Making False Statement in Firearm Records)

On or about February 3, 2008, in the Western District of Texas, and within the jurisdiction of the court, the defendants,

HUMBERTO RUBEN TREVINO

knowingly made a false statement and representation with respect to information required by the provisions of Chapter 44 of Title 18, United States Code, to be kept in the records of Sportsmans Warehouse in Round Rock, Texas, a person licensed under the provisions of Chapter 44 of Title 18, United States Code, in that the defendant, **HUMBERTO RUBEN TREVINO**, did execute a United

States Department of Justice, Bureau of Alcohol, Tobacco, Firearms and Explosives Form 4473, Firearms Transaction Record, to the effect that he was the actual buyer of one Beretta, 84FS (Cheetah), .380 pistol; one Remington, 700, .30-06, rifle, and one Mossberg/Kayhan, Silver Reserve, 12 gauge, shotgun whereas in truth and in fact, he was acquiring the firearms on behalf of another person, Humberto Fernandez,

In violation of Title 18, United States Code, Section 924(a)(1)(A).

COUNT TEN
(Making False Statement in Firearm Records)

On or about March 27, 2008, in the Western District of Texas, and within the jurisdiction of the court, the defendants,

ASTOLFO GARZA

knowingly made a false statement and representation with respect to information required by the provisions of Chapter 44 of Title 18, United States Code, to be kept in the records of Sportsmans Warehouse in Round Rock, Texas, a person licensed under the provisions of Chapter 44 of Title 18, United States Code, in that the defendant, **ASTOLFO GARZA**, did execute a United States Department of Justice, Bureau of Alcohol, Tobacco, Firearms and Explosives Form 4473, Firearms Transaction Record, to the effect that he was the actual buyer of one Beretta, 85, .380 caliber pistol; and one Ruger, Model 10/22, .22 caliber, rifle, whereas in truth and in fact, he was acquiring the firearms on behalf of another person, Humberto Fernandez,

In violation of Title 18, United States Code, Section 924(a)(1)(A).

COUNT ELEVEN
(Making False Statement in Firearm Records)

On or about April 11, 2008, in the Western District of Texas, and within the jurisdiction of the court, the defendants,

ASTOLFO GARZA

knowingly made a false statement and representation with respect to information required by the provisions of Chapter 44 of Title 18, United States Code, to be kept in the records of Sportsmans Warehouse in Round Rock, Texas, a person licensed under the provisions of Chapter 44 of Title 18, United States Code, in that the defendant, **ASTOLFO GARZA**, did execute a United States Department of Justice, Bureau of Alcohol, Tobacco, Firearms and Explosives Form 4473, Firearms Transaction Record, to the effect that he was the actual buyer of one Browning, 12 gauge, shotgun; and one Walther, PPK/S, .380 caliber, pistol, whereas in truth and in fact, he was acquiring the firearms on behalf of another person, Humberto Fernandez,

In violation of Title 18, United States Code, Section 924(a)(1)(A).

A TRUE BILL:

FOREPERSON OF THE GRAND JURY

JOHN E. MURPHY
ACTING UNITED STATES ATTORNEY



GRANT SPARKS
Assistant United States Attorney

SIGNATURE REDACTED PURSUANT
TO E-GOVERNMENT ACT OF 2002

Sealed _____

Unsealed X

Personal Data Sheet

USAO# 2009R04667

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF TEXAS

109 CR 193 SS

RELATED CASE YES X NO
CASE NO. _____

County: TRAVIS AUSTIN Division Judge: _____

Date: 05/07/2009 Mag Ct.# A-09-MJ-153 SSN: _____ FBI#: _____

Case No.: _____ Assistant U. S. Attorney: Grant Sparks

Defendant: HUMBERTO ALFREDO FERNANDEZ Date of Birth: REDACTED

Address: _____

Citizenship: United States X Mexican _____ Other _____

Interpreter Needed: Yes _____ No X Language _____

Defense Attorney: _____ Employed _____

Address of Attorney: _____ Appointed _____

Defendant is: In Jail X Where: Guadalupe County Jail

On Bond _____ Amt. of Bond _____ Where: _____

Date of Arrest: 03/29/2009 Bench Warrant Needed _____

Prosecution By: Information _____ Indictment X

Offense (Code & Description): Count 1: 18 U.S.C. § 371, 924(a)(1)(A) - Conspiracy to Make False Statement in Firearm Records.

Offense Is: Felony X Misdemeanor _____

Maximum Sentence: Count 1: Not more than 5 yrs imprisonment; \$250K fine; At least 3 yrs TSR; A \$100 SA.

Penalty is Mandatory: As to special assessment Yes X No _____

Remarks: Case Agent: ATF - Daniel Jones 512-231-2885

Sealed _____

Unsealed X

Personal Data Sheet

USAO# 2009R04667

Sealed _____

Unsealed X

Personal Data Sheet

USAO# 2009R04667

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF TEXAS

09 CR 193 SS

RELATED CASE YES X NO
CASE NO. _____

County: TRAVIS AUSTIN Division Judge: _____

Date: 05/07/2009 Mag Ct.# _____ SSN: _____ FBI#: _____

Case No.: _____ Assistant U. S. Attorney: Grant Sparks

Defendant: CLAUDIA PULIDO Date of Birth: REDACTED

Address: _____

Citizenship: United States X Mexican _____ Other _____

Interpreter Needed: Yes _____ No X Language _____

Defense Attorney: _____ Employed _____

Address of Attorney: _____ Appointed _____

Defendant is: In Jail _____ Where: _____

On Bond _____ Amt. of Bond _____ Where: _____

Date of Arrest: _____ Bench Warrant Needed _____

Prosecution By: Information _____ Indictment X

Offense (Code & Description): Count 1: 18 U.S.C. § 371, 924(a)(1)(A) - Conspiracy to Make False Statement in Firearm Records.

Offense Is: Felony X Misdemeanor _____

Maximum Sentence: Count 1: Not more than 5 yrs imprisonment; \$250K fine; At least 3 yrs TSR; A \$100 SA.

Penalty is Mandatory: As to special assessment Yes X No _____

Remarks: Case Agent: ATF - Daniel Jones 512-231-2885

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF TEXAS

RELATED CASE YES NO

CASE NO.

A09 CR 193 SS

County: TRAVIS AUSTIN Division Judge: _____

Date: 05/07/2009 Mag Ct.# A09-MJ-153 SSN: _____ FBI#: _____

Case No.: _____ Assistant U. S. Attorney: Grant Sparks

Defendant: YAHAIRA BANDA Date of Birth: REDACTED

Address: _____

Citizenship: United States Mexican _____ Other _____

Interpreter Needed: Yes _____ No Language _____

Defense Attorney: _____ Employed _____

Address of Attorney: _____ Appointed _____

Defendant is: In Jail _____ Where: _____

On Bond _____ Amt. of Bond _____ Where: _____

Date of Arrest: _____ Bench Warrant Needed _____

Prosecution By: Information _____ Indictment

Offense (Code & Description): **Count 1: 18 U.S.C. § 371, 924(a)(1)(A) - Conspiracy to Make False Statement in Firearm Records.**
Counts 2 - 4: 18 U.S.C. § 924(a)(1)(A) - Making False Statement in Firearm Records

Offense Is: Felony Misdemeanor _____

Maximum Sentence: **Count 1: Not more than 5 yrs imprisonment; \$250K fine; At least 3 yrs TSR; A \$100 SA.**
Counts 2-4: Not more than 5 yrs imprisonment; \$250K fine; At least 3 yrs TSR; A \$100 SA.

Penalty is Mandatory: As to special assessment Yes No _____

Remarks: Case Agent: ATF - Daniel Jones 512-231-2885

Sealed _____
Unsealed X

Personal Data Sheet USAO# 2009R04667

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF TEXAS **09 CR 193 SS**

RELATED CASE YES X NO
CASE NO. _____

County: TRAVIS AUSTIN Division Judge: _____

Date: 05/07/2009 Mag Ct.# _____ SSN: _____ FBI#: _____

Case No.: _____ Assistant U. S. Attorney: Grant Sparks

Defendant: LAURA JAQUEZ CARAVEO Date of Birth: REDACTED

Address: _____

Citizenship: United States X Mexican _____ Other _____

Interpreter Needed: Yes _____ No X Language _____

Defense Attorney: _____ Employed _____

Address of Attorney: _____ Appointed _____

Defendant is: In Jail _____ Where: _____

On Bond _____ Amt. of Bond _____ Where: _____

Date of Arrest: _____ Bench Warrant Needed _____

Prosecution By: Information _____ Indictment X

Offense (Code & Description): Count 1: 18 U.S.C. § 371, 924(a)(1)(A) - Conspiracy to Make False Statement in Firearm Records.
 Counts 5 & 6: 18 U.S.C. § 924(a)(1)(A) - Making False Statement in Firearm Records

Offense Is: Felony X Misdemeanor _____

Maximum Sentence: Count 1: Not more than 5 yrs imprisonment; \$250K fine; At least 3 yrs TSR; A \$100 SA.
 Counts 5 & 6: Not more than 5 yrs imprisonment; \$250K fine; At least 3 yrs TSR; A \$100 SA.

Penalty is Mandatory: As to special assessment Yes X No _____

Remarks: Case Agent: ATF - Daniel Jones 512-231-2885

Sealed _____
Unsealed X

Personal Data Sheet USAO# 2009R04667

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF TEXAS **A09 CR 193 SS**

RELATED CASE YES X NO
CASE NO. _____

County: TRAVIS AUSTIN Division Judge: _____

Date: 05/07/2009 Mag Ct.# _____ SSN: _____ FBI#: _____

Case No.: _____ Assistant U. S. Attorney: Grant Sparks

Defendant: HUMBERTO RUBEN TREVINO Date of Birth: REDACTED

Address: _____

Citizenship: United States _____ Mexican _____ Other _____

Interpreter Needed: Yes _____ No X Language _____

Defense Attorney: _____ Employed _____

Address of Attorney: _____ Appointed _____

Defendant is: In Jail _____ Where: _____

On Bond _____ Amt. of Bond _____ Where: _____

Date of Arrest: _____ Bench Warrant Needed _____

Prosecution By: Information _____ Indictment X

Offense (Code & Description): Count 1: 18 U.S.C. § 371, 924(a)(1)(A) - Conspiracy to Make False Statement in Firearm Records.
 Counts 7 - 9: 18 U.S.C. § 924(a)(1)(A) - Making False Statement in Firearm Records

Offense Is: Felony X Misdemeanor _____

Maximum Sentence: Count 1: Not more than 5 yrs imprisonment; \$250K fine; At least 3 yrs TSR; A \$100 SA.
 Counts 7-9: Not more than 5 yrs imprisonment; \$250K fine; At least 3 yrs TSR; A \$100 SA.

Penalty is Mandatory: As to special assessment Yes X No _____

Remarks: Case Agent: ATF - Daniel Jones 512-231-2885

Sealed _____

Unsealed X

Personal Data Sheet

USAO# 2009R04667

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF TEXAS

A09 CR 193 SS

RELATED CASE YES X NO
CASE NO. _____

County: TRAVIS AUSTIN Division _____ Judge: _____

Date: 05/07/2009 Mag Ct.# A09-MJ-153 SSN: _____ FBI#: _____

Case No.: _____ Assistant U. S. Attorney: Grant Sparks

Defendant: ASTOLFO GARZA Date of Birth: REDACTED

Address: _____

Citizenship: United States X Mexican _____ Other _____

Interpreter Needed: Yes _____ No X Language _____

Defense Attorney: _____ Employed _____

Address of Attorney: _____ Appointed _____

Defendant is: In Jail _____ Where: _____

On Bond _____ Amt. of Bond _____ Where: _____

Date of Arrest: _____ Bench Warrant Needed _____

Prosecution By: Information _____ Indictment X

Offense (Code & Description): Count 1: 18 U.S.C. § 371, 924(a)(1)(A) - Conspiracy to Make False Statement in Firearm Records. Counts 10 & 11: 18 U.S.C. § 924(a)(1)(A) - Making False Statement in Firearm Records

Offense Is: Felony X Misdemeanor _____

Maximum Sentence: Count 1: Not more than 5 yrs imprisonment; \$250K fine; At least 3 yrs TSR; A \$100 SA. Counts 10 & 11: Not more than 5 yrs imprisonment; \$250K fine; At least 3 yrs TSR; A \$100 SA.

Penalty is Mandatory: As to special assessment Yes X No _____

Remarks: Case Agent: ATF - Daniel Jones 512-231-2885